

# The 33-year Retrospective Analysis of Esophageal Carcinomas: Cerrahpaşa Experience

Özefagus Karsinomlarının 33 Yıllık Retrospektif Analizi: Cerrahpaşa Deneyimi

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#### ABSTRACT

Aim: Esophageal cancer is a fatal disease where the majority of patients are diagnosed at an advanced stage. In this study, we aimed to present the demographic and clinicopathological characteristics of patients who were operated for esophageal cancer.

Materials and Methods: A total of 847 patients who underwent surgery, chemoradiotherapy, or palliative procedures for esophageal pathology between the years of 1985 and 2018 were retrospectively analyzed. Age, gender, tumor location, histopathology, surgical technique, and chemoradiotherapy history of patients were analyzed.

**Results:** In the study, 488 patients were male (60.5%) and 319 were female (39.5%). The ratio of males and females was 1.52. The average age was 58.6 years, and 80.1% were older than 50 years. The most common pathological material obtained was squamous cell carcinoma (67.5%), followed by adenocarcinoma (27.7%). Total esophagectomy was performed in 435 patients; distal esophagectomy was performed in 38 patients. Transhiatal esophagectomy (n=271, 62.2%) was the most common procedure that was performed.

**Conclusion:** Esophagectomy is the primary treatment modality for esophageal cancers. When determining the optimal treatment, appropriate patient selection, staging, and risk assessment should be made. Patient-specific treatment should be planned with a multidisciplinary approach.

Keywords: Esophageal cancer, Cerrahpaşa, esophagus, carcinoma

#### ÖΖ

Amaç: Özefagus kanseri, hastaların çoğunun ileri evrede teşhis edildiği ölümcül bir hastalıktır. Bu çalışmada özefagus kanseri nedeniyle ameliyat edilen hastaların demografik ve klinikopatolojik özelliklerini sunmayı amaçladık.

Gereç ve Yöntem: 1985-2018 yılları arasında özefagus patolojisi nedeniyle cerrahi, kemoradyoterapi veya palyatif prosedürler uygulanan toplam 847 hasta retrospektif olarak incelendi. Hastaların yaş, cinsiyet, tümör yerleşimi, histopatolojisi, cerrahi teknik ve kemoradyoterapi öyküleri incelendi.

**Bulgular:** Çalışmada 488 hasta erkek (%60,5) ve 319 hasta kadındı (%39,5). Katılımcıların yaş ortalaması 58,6 olup, %80,1'i 50 yaşın üzerindeydi. Erkeklerin ve kadınların oranı sırasıyla %60,5 ve %39,5 idi. Elde edilen en yaygın patoloji materyali skuamöz hücreli karsinom (%67,5), ardından adenokarsinomdu (%27,7). Toplam özefajektomi 435 hastaya yapıldı ve 38 hastaya distal özefajektomi yapıldı. Transhiatal özefajektomi (n=271, %62,2) en sık yapılan işlemdi.

**Sonuç:** Özefajektomi, özefagus kanserleri için birincil tedavi yöntemidir. Optimal tedaviyi belirlerken uygun hasta seçimi, evreleme ve risk değerlendirmesi yapılmalıdır. Hastaya özel tedavi multidisipliner bir yaklaşımla planlanmalıdır.

Anahtar Kelimeler: Özefagus kanseri, Cerrahpaşa, özefagus, karsinom

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# INTRODUCTION

Esophageal cancer is accepted as one of the deadliest cancers of the digestive tract. It is ranked sixth among all cancers over the world and it constitutes about 5-7% of all cancers<sup>1,2</sup>. Besides its longitudinal spread, the tumor may spread from the esophageal mucosa towards the submucosa and can reach the mediastinal region as well as abdominal lymph nodes by draining through the peripheral lymph nodes via perforating lymph vessels. This drainage pathway can explain why many patients are at an advanced stage at the time when the diagnosis is made.

In countries where the disease is common, diagnosis can be made at an early stage due to the developed and different screening modalities. The esophageal cancer incidence varies widely by geographical locations. "Asian Esophageal Cancer Belt" is a region that begins from Turkey, Iraq, Iran, and Kazakhstan extending up all the way to northern China. Most of the esophageal cancers in this region are squamous cell carcinoma (SCC) and it is the region with the highest incidence of esophageal cancer in the world<sup>3</sup>. In Turkey, SCC is seen most commonly in the Eastern Anatolia Region<sup>2</sup>. While SCC is the most common histological type over the world, the incidence of adenocarcinoma has gradually increased and has become dominant over time, especially in western countries<sup>4</sup>. The main goal of the treatment of esophageal carcinoma is to improve patient's quality of life as well as to eliminate dysphagia, which is one of the most common symptoms known. While optimistic results are obtained in early stage esophageal cancer due to the anatomical features of the esophagus, the results are poor in the advanced metastatic stage<sup>5</sup>. Numerous studies have reported that the substantial results are obtained through a multidisciplinary approach in the treatment of esophageal cancer<sup>6-9</sup>. Although the standard treatment for early esophageal carcinomas is esophagectomy, various endoscopic treatment methods have been developed for some early esophageal tumors in the past two decades. The first successful esophageal resection was performed by the French surgeon Torek in 1913<sup>10</sup>. Today, proximal, distal, and total esophagectomy is performed in many clinics using open or minimally invasive techniques. Reconstruction is successfully established with the help of stomach, colon and small intestine via flaps or free flaps. In this study, we aimed to present the demographic, clinical, and pathological characteristics of patients who were operated in our clinic for esophageal cancer.

## MATERIALS AND METHODS

In this retrospective study, a total of 847 patients who underwent surgery, chemoradiotherapy, or palliative procedures for esophageal pathology in the Department of General Surgery of Cerrahpaşa Medical Faculty between 1985 and 2018 were examined. Ethics committee approval was obtained for the study. Patients older than 18 years of age, patients operated under elective conditions due to malignant lesions (adenocarcinoma and SCC) of the esophagus, patients who underwent palliative stenting or enteral feeding tube due to inoperable state, or those who were treated with chemoradiotherapy were included in the study. Patients with benign lesions, leiomyoma and gastrointestinal (GIS) stromal tumor according to histopathological results were excluded from the study (n=40). Patients over the age of 18 years, who were operated on for trauma or other emergency indications, were excluded. The study was designed on 807 patients.

Patient data were obtained from discharge reports, surgical reports, and pathology reports in the hospital database. Age, gender, symptoms, location of the tumor, histopathological diagnosis, applied surgical technique, neoadjuvant and adjuvant radiotherapy and chemotherapy history of the patients were recorded. In addition, the clinical stages of the patients were evaluated according to the tumor node metastasis (TNM) staging system<sup>5</sup>. Patients with no metastases and no contraindications for the operation were considered eligible for surgical resection. Various surgical techniques are available for the surgery of the esophagus. Transhiatal esophagectomy was defined as total esophagectomy with the addition of abdominal and cervical incisions without thoracotomy. The Ivor Lewis procedure was defined as esophagectomy with abdominal and right thoracic incisions. Lastly, the McKeown's operation was defined as esophagectomy with abdominal, right thoracic and cervical incisions.

## **Statistical Analysis**

Descriptive statistics were carried out. Mean and standard deviation was calculated for continuous variables, and frequencies and numbers for categorical variables. Inferential statistical analysis could not be performed because there was no group comparison.

# RESULTS

The average age of 847 patients was 58.1 years and 521 (61.5%) were male and 326 (38.4%) were female. The mean age of the patients included in the study with esophageal malignancy (adenocarcinoma and SCC) (n=807) was 58.6 years and 80.1% of them were older than 50 years (Table 1). The rates of males and females in patients with esophageal malignancies were 60.5% and 39.5%, respectively (male/female=1.52). The average age of male patients was 59.2 (20-89) years, and the average age of female patients was 57.6 (18-86) years. In terms of symptoms, dysphagia was seen in 90%, weight loss was seen in 64%, odynophagia was seen in 15%, hematemesis was seen in 4%, and cough and hoarseness were seen in 2% of the patients.

As a result of the pathological examination of the endoscopic biopsy and surgical resection materials, it was found that 95.2% (n=807) of the cases had malignant esophageal neoplasms. In pathology materials, SCC was observed the most frequently (67.5%) and the second most common was adenocarcinoma (27.7%). The rest of the pathologies included chronic esophagitis, GIS stromal tumors, and leiomyoma in decreasing order or observation (Table 1). In terms of tumor grade, 9.3% of the tumors were well differentiated (Grade 1), 50% were moderately differentiated (Grade 2), 18.5% were poorly differentiated (Grade 3), and 4.2% were very poorly differentiated (Grade 4). The rate of cases whose histopathological grade could not be determined (Grade X) was 17.8%. When the cases of esophagitis were examined, it was seen that these patients were operated due to corrosive substance drinking or stricture secondary to chronic esophagitis. Tumors were most commonly localized in the distal esophagus (44.9%), followed by middle and upper esophagus in decreasing order of occurrence (Table 1). Surgical resection was performed in 473 of the patients. Total esophagectomy was performed in 435 patients, and distal esophagectomy was performed in 38 patients. Transhiatal esophagectomy (n=271, 62.2%) was accepted to be the most common total esophagectomy procedure performed (Table 1). In 187 patients who were not operated due to irresectable state, neoadjuvant chemotherapy was applied. Enteral feeding tube for palliative therapy was applied to 63 patients with metastatic esophageal cancer, and esophageal stent procedure was applied

Table 1. Demographic, pathological and surgical results of the study			
Age	Female n (%)	Male n (%)	Total n (%)
<29	4	8	12 (1.48)
30-39	24	30	54 (6.69)
40-49	42	52	94 (11.64)
50-59	67	125	192 (23.79)
60-69	109	171	280 (34.69)
>70	73	102	175 (21.68)
Total	319 (39.5)	488 (60.5)	807
Histological diagnosis		n (%)	
Squamous cell carcinoma		572 (67.5)	
Adenocarcinoma		235 (27.7)	
Esophagitis*		26 (3.0)	
Leiomyoma		8 (0.9)	
GIST**		6 (0.7)	
Anatomical localization		n (%)	
Upper 1/3 esophagus		172 (21.3)	
Middle 1/3 esophagus		273 (33.8)	
Lower 1/3 esophagus		362 (44.9)	
Total		807 (100)	
Surgical technique		n (%)	
Transhiatal esophagectomy		271 (62.2)	
Ivor Lewis procedure		82 (18.8)	
McKeown procedure		57 (13.1)	
Minimally invasive (toracoscopic + laparoscopic)		25 (5.7)	
Total		435 (100)	
*Chronic esophagitis and esophagitis secondary to corrosive damage. **Gastrointestinal stromal tumor. GIST: Gastrointestinal stromal tumors			

to 31 patients. In the study, 93 patients who continued their treatment in other centers or refused treatment were excluded from follow-up. GIS continuity was established by anastomosis of the stomach with the proximal esophagus inside the thorax in cases who underwent distal esophagectomy. Among the patients who underwent total esophagectomy, 374 patients were reconstructed with stomach interposition (86%), 48 patients were reconstructed with colon interposition (11%), and 13 patients were reconstructed with jejunum interposition (3%).

## DISCUSSION

The incidence, morbidity, and mortality rates of esophageal cancer have varied greatly over the past 30 years, but it is still one of the cancer types with the highest mortality worldwide. In both sexes, the incidence of esophageal cancer increases proportionally with age and it peaks at the 7<sup>th</sup> decade<sup>11</sup>. The incidence in men is observed to be 2-4 times higher than that in women<sup>12</sup>. This male to female ratio rate has been reported as 4.9 in adenocarcinomas and 1.2 in SCC<sup>13</sup>. In our study, 58.4% of the patients with esophageal cancer were between the ages of 50 and 70 years, and the male/female ratio was 1.52. It was seen that the demographic features in this study were compatible with the literature.

In esophageal cancer, dysphagia is the most common presenting symptom, followed by weight loss<sup>7</sup>. Smoking, alcohol intake, poor diet, poor socioeconomic conditions, infections (such as HIV, *Helicobacter pylori*), genetic factors, gastroesophageal reflux and Barrett's esophagus are the main risk factors<sup>14</sup>. For this reason, especially in elder patients who present with dysphagia and have a history of risk factors considered to be as a red flag, esophageal imaging should be promptly completed first followed by endoscopy in order to exclude esophageal malignancy. In our series, the most common presenting symptom was dysphagia.

Esophageal cancers are mainly observed in the lower onethird segment of the esophagus, followed by the middle onethird and upper one-third segments, in decreasing order of occurrence<sup>15</sup>. While nearly 75% of all adenocarcinomas are localized to the distal esophagus, SCC are equally distributed in the distal two-thirds segment of the esophagus<sup>16</sup>. In our study, in accordance with the literature, esophageal malignancies were mostly located in the lower 1/3 segment.

Until 30 years ago, the ratio of SCC to adenocarcinoma of esophagus cancer was higher in favor of SCC. Since 2000's, this ratio seemed to increase in favor of adenocarcinoma (5:1) possibly due to the various adaptations of the risk factors<sup>13,17</sup>. Although the underlying cause may change, the reason for this change in the histopathological pattern is unknown since many different risk factors are involved in the development of esophageal cancer<sup>7,13</sup>. In our study, 67.5% of the patients had SCC, while 27.7% of the patients had adenocarcinoma. We believe that the reason why the rate of adenocarcinoma is not

as dominant as reported in the literature is that our country is in the Asian Esophageal Cancer Belt and SCC is the dominant type like other societies in this generation.

The TNM classification system is widely used for the staging of esophageal cancer. Staging is a key factor in determining the method of treatment. Although the most important radiological imaging in staging is <sup>18</sup>F-fluoro-2-deoxy-D-glucose positron emission tomography and endoscopic ultrasonography, laparoscopy and/or thoracoscopy also has an important role in selected cases<sup>18</sup>.

The ideal treatment method for esophageal cancer has not been determined. Multimodal therapies using chemotherapy or chemoradiotherapy combined with surgical treatment give promising results. Treatment is adjusted according to the stage of the disease and clinical evaluation of the patient. Curative and palliative surgery, endoscopic intervention, medical oncology, and radiotherapy treatments are tailored for each patient. Endoscopic mucosal resection (EMR) is a reliable and curative treatment for early esophageal cancers (Tis and T1a, T1bsm1)<sup>19</sup>. However, we did not have EMR experience in our clinic. Esophagectomy is the preferred treatment for T1b, submucosal layer-1 (sl1), sl2, and sl3 tumors with lymphovascular invasion or other risk factors9. Neoadjuvant chemoradiotherapy and esophagectomy (with lymphadenectomy) should be performed in locally advanced resectable tumors. Chemotherapy, chemoradiotherapy and palliative treatments are reserved for non-resectable tumors. Salvage esophagectomy is the surgical method of choice for residual tumor or recurrence after chemoradiotherapy<sup>9</sup>.

Currently, the most common open operations in resectable cases are transhiatal esophagectomy, lvor Lewis surgery, and McKeown surgery<sup>7,20</sup>. Wide resection should be performed in these operations since esophageal tumors can spread submucosally throughout the esophageal layers and SCC causes longitudinal lymph flow skipping areas<sup>21</sup>. Hagen et al.22 reported that survival was significantly better in the patient group who underwent en-block esophagectomy compared to the transhiatal esophagectomy group. In contrast, in a randomized clinical study by Hulscher et al.23 in which transhiatal lymphadenectomy in 106 patients and transthoracic plus en-block lymphadenectomy in 114 patients was performed, it was observed that there was no difference between these two techniques in terms of postoperative mortality, but the complication rate of transthoracic resection and length of hospital stay were seen to be higher. In transhiatal esophagectomy, blind esophagectomy is performed without thoracotomy; although the failure of intrathoracic lymphadenectomy is the weakest aspect of the method, the complication rate, especially pulmonary complications, is observed less than surgeries that involve thoracotomy. This is also the type of operation that patients are able to tolerate better. In the last 33 years, we found that transhiatal esophagectomy technique was the most preferred technique (62.2%) in our

clinic. One of the most important criteria in the selection of surgical technique is the surgeon's experience. For this reason, the surgeons in our clinic did not prefer the mediastinal anastomosis, so total esophagectomy was the most performed. In our clinic, mostly stomach was used for reconstruction. However, as is known, it can be performed in jejunum and colon interposition. Colon and jejunum reconstructions require more anastomosis than stomach reconstructions, technically more difficult and septic complications may occur. Therefore, it was not preferred in our clinic except for recurrence cases.

Advantages of minimally invasive esophagectomy, which has been increasingly applied in the last two decades, include smaller incisions, preservation of post-operative pulmonary function, fewer blood loss and complications, and shorter intensive care and hospital stay<sup>24-26</sup>. There are publications on the long-term oncological results of minimally invasive surgeries and whether the surgical margins of the esophagus and stomach are sufficient. However, in recent randomized controlled prospective studies, minimally invasive surgery was found to be noninferior in terms of oncologic results compared to open esophagectomy<sup>27,28</sup>. Minimally invasive surgery is used safely for oncological pathologies as well as benign pathologies (diverticulectomy)<sup>29</sup>. Thoracoscopic and laparoscopic total esophagectomy has been successfully performed in 25 cases in our clinic.

T4b tumors are the advanced stage cancers that invade nonresectable structures such as the aorta, left atrium, and spine. In these cases where curative treatment is not possible, palliative modalities should be performed towards symptoms in order to delay death. Chemoradiotherapy is a preferred approach for patients who are eligible for combined therapy because it provides better palliation than radiotherapy alone and increases the probability of long-term progression-free survival<sup>30</sup>. Patients who can take semi-solid food are treated with chemoradiotherapy, and radiotherapy is effective after six weeks<sup>31</sup>. Palliation can be established with an endoscopic stent in patients who can only take fluid or cannot swallow saliva. Endoscopic dilatation is another technique of treatment modality performed in these patients. Percutaneous endoscopic gastrostomy, tube gastrostomy/ jejunostomy can be applied for nutritional support in patients who are not suitable for stenting or dilatation<sup>32</sup>.

## **Study Limitations**

Since our study is a retrospective clinical study, data regarding disease stage, intraoperative and postoperative complications, mortality rates and survival times of many patients could not be obtained. In addition, the fact that it is single-centered institutional study and the fact that the treatment modalities have been updated in 33 years are the other limitations of our study.

## CONCLUSION

Esophagectomy is still the main treatment method for esophageal cancers, but the majority of patients are

unresectable due to systemic spread at the time of diagnosis. We believe that through better follow-up and surveillance programs, aggressive multidisciplinary approach, and development of minimally invasive methods, morbidity of esophagectomy will decrease, survival will be prolonged, and patients' quality of life will increase.

#### Ethics

**Ethics Committee Approval:** The study were approved by the İstanbul University-Cerrahpaşa, Cerrahpaşa Faculty of Medicine (date: 09.07.2020, protocol number: 86672).

**Informed Consent:** Consent form was filled out by all participants.

**Peer-review:** Externally peer-reviewed.

#### **Authorship Contributions**

Surgical and Medical Practices: S.S.U., F.A., M.F.Ö., A.K.Z., Concept: S.S.U., O.A., S.E., F.A., M.F.Ö., A.K.Z., Design: S.S.U., O.A., S.E., F.A., M.F.Ö., A.K.Z., Data Collection or Processing: S.S.U., O.A., S.E., E.E., A.N.Ş., N.K., Analysis or Interpretation: S.S.U., O.A., S.E., E.E., A.N.Ş., N.K., Literature Search: S.S.U., O.A., S.E., E.E., A.N.Ş., N.K., Writing: S.S.U., O.A., S.E., E.E., A.N.Ş.

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